PTO/SB/21 (08/03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/825143 TRANSMITTAL Filing Date 04/15/2004 **FORM** First Named Inventor Rawson Art Unit 2661 all correspondence after initial filing) **Examiner Name** Unknown Attorney Docket Number AUS9 2004 0024 US1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer Extension of Time Request** Identify below): Request for Refund A. 3 References **Express Abandonment Request** B. Return Receipt Postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name LAKLY & LAKLY Signature Date July 1,2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Date July 1, 2004 Signature

This collection of information is required by 7 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2661 § olicant: Rawson

Serial No.: 10/825143 Examiner: Unknown

Filed: 04/15/2004 Attorney Docket: AUS9 2004 0024 US1

Title:

99999999 System and Method for Intellectual Property Law Department

International Business Machines Corp. **Reclaiming Allocated Memory** to Reduce Power in a § 11400 Burnet Road

**Data Processing System** Austin, Texas 78758

## INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Applicants submit herewith patent, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO/SB/08, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(i). A copy of the non-patent literature listed on PTO/SB/08 is supplied herewith.

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Because this IDS is being submitted prior to the issuance of a first Office Action, Applicant believes that no accompanying petition or fee is required.

Respectfully submitted,

Joseph P. Lally

Reg. No. 38,947

ATTORNEY FOR APPLICANT(S)

LALLY & LALLY, L.L.P. P.O. Box 684749 Austin, Texas 78768-4749 512.428.9870 512.428.9871 (FAX)

JPL/mmm

Attachment

PTO/SB/08B (08-03)

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RADEN	for form 1449/PT0	-	- • • • • •	Application Number	10/825143		
INFO	DRMATIO	N DIS	CLOSURE	Filing Date	04/15/2004		
STATEMENT BY APPLICANT  (Use as many sheets as necessary)				First Named Inventor	Rawson 2661		
				Art Unit			
				Examiner Name	Unknown		
Sheet	1	· of	1	Attorney Docket Number	AUS9 2004 0024 US1		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ABALI et al., Memory Expansion Technology (MXT): Software support and performance, IBM Journal of Research & Development, March 2001, Vol. 45, No. 2	
		WALDSPURGER, Memory Resource Management in VMware ESX Server, VMware, Inc., Palo Alto, CA.	
		BARHAM et al., Xen and the Art of Virtualization, University of Cambridge Computer Laboratory, SOSP'03 October 19-22, 2003, Bolton Landing, New York	
		·	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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